

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant** : Alan L. Klein :  
:  
**Serial No.** : Not Yet Assigned :  
: **GROUP:**  
**Attny No.** : 60,551-002 :  
: **EXAMINER:**  
**Filed** : Concurrently Herewith :  
:  
**Title** : METHOD AND SYSTEM FOR :  
MANAGING AND MONITORING :  
AN ORGANIZATION :

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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97 AND 1.98**

**Commissioner for Patents**  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Pursuant to Rule 97 and in compliance with Rule 56, Applicant hereby makes of record the items listed on the attached Form PTO-1449. Copies of the listed items are enclosed.

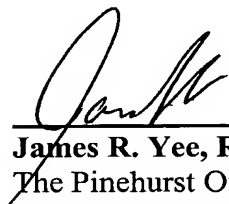
None of these items discloses the inventive concepts claimed in the subject application.

Should there be a fee associated with this document, please charge it to Deposit Account No. 08-2789. A duplicate of this paper is enclosed.

Respectfully submitted,

**HOWARD & HOWARD ATTORNEYS, P.C.**

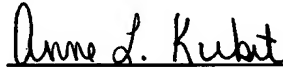
6/25/03  
Date

  
\_\_\_\_\_  
**James R. Yee, Registration No. 34,460**  
The Pinehurst Office Center, Suite #101  
39400 Woodward Ave.  
Bloomfield Hills, MI 48304-5151  
(248) 723-0349

Enclosures:  
PTO/SB/08A w/ 25 Cited References

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that the enclosed paper or fee is being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope as "Express Mail Post Office to Addressee", Mailing Label No. **EV282672978US** and addressed to the Assistant Commissioner of Patents, Washington, D. C. 20231, on **June 25, 2003**.



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Anne L. Kubit

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Sheet 1 of 3

|                        |               |
|------------------------|---------------|
| Application Number     |               |
| Filing Date            |               |
| First Named Inventor   | Alan L. Klein |
| Art Unit               |               |
| Examiner Name          |               |
| Attorney Docket Number | 60551-002     |

**U. S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|-----------------------|--------------------------|--|--------------------------------|--|---|
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |  |   |
|                       |                          | US- 5,089,970                            | 02/18/1992                     | Lee et al.   |   |
|                       |                          | US- 5,548,506                            | 08/20/1996                     | Srinivasan   |   |
|                       |                          | US- 5,761,063                            | 06/02/1998                     | Jannette et al.                                    |   |
|                       |                          | US- 5,799,286                            | 08/25/1998                     | Morgan et al.                                      |   |
|                       |                          | US- 5,826,252                            | 10/20/1998                     | Wolters, Jr. et al.                                |   |
|                       |                          | US- 5,875,431                            | 02/23/1999                     | Heckman et al.                                     |   |
|                       |                          | US- 5,907,490                            | 05/25/1999                     | Oliver   |   |
|                       |                          | US- 5,960,404                            | 09/28/1999                     | Chaar et al.                                       |   |
|                       |                          | US- 5,974,395                            | 10/26/1999                     | Bellini et al.                                     |   |
|                       |                          | US- 5,995,959                            | 11/30/1999                     | Friedman et al.                                    |   |
|                       |                          | US- 6,023,702                            | 02/08/2000                     | Leisten et al.                                     |   |
|                       |                          | US- 6,067,548                            | 05/23/2000                     | Cheng  |   |
|                       |                          | US- 6,076,105                            | 06/13/2000                     | Wolff et al.                                       |   |
|                       |                          | US- 6,085,220                            | 07/04/2000                     | Courts et al.                                      |   |
|                       |                          | US- 6,092,050                            | 07/18/2000                     | Lungren et al.                                     |   |
|                       |                          | US- 6,169,994 B1                         | 01/02/2001                     | Lennert et al.                                     |   |
|                       |                          | US- 6,278,977 B1                         | 08/21/2001                     | Agrawal et al.                                     |   |
|                       |                          | US- 6,308,164 B1                         | 10/23/2001                     | Nummelin et al.                                    |   |
|                       |                          | US- 6,321,204 B1                         | 11/20/2001                     | Kazami et al.                                      |   |

**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document   | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>Or Relevant Figures Appear | T <sup>6</sup> |
|-----------------------|--------------------------|---|-----------------------------------|--|---|----------------|
|                       |                          | Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                   |  |   |                |
|                       |                          |   |                                   |  |   |                |
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Examiner  
SignatureDate  
Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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| Art Unit               |               |
| Examiner Name          |               |
| Attorney Docket Number | 60551-002     |

Sheet 3 of 3

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|-----------------------|---|----------------|
|                    | AA                    | "MOTIVATING THE ORGANIZATION - EXECUTIVE INFORMATION SYSTEMS" by Benford, Pgs. 886-892, Volume-2 of   |                |
|                    |                       | the IEEE 1991 National Aerospace and Electronics Conference NAECON 1991   |                |
|                    | AB                    | "THE ENTERPRISE-WEB PORTAL FOR LIFE-CYCLE SUPPORT" by Rezayat, Pgs.   |                |
|                    |                       | 85-96 of the Computer-Aided Design 32 (2000)  |                |
|                    | AC                    | "FINANCIAL AUTOMATED MANAGEMENT ENVIRONMENT" by Barnes & Leuze  |                |
|                    |                       | "HIGH-PERFORMANCE COMPUTING AND NETWORKING", Pgs. 946 - 947,  |                |
|                    |                       | April 15-19, 1996   |                |
|                    | AD                    | "GOST: AN ACTIVE MODELING SYSTEM FOR COSTING AND PLANNING NASA SPACE PROGRAMS" by Castillo et al.   |                |
|                    |                       | Pgs 396-406 of the Proceedings of the Twenty-Fourth Annual International Conference   |                |
|                    |                       | on System Sciences, Vol. III 1991   |                |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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